



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: **DeWitt, Jr. et al.**

Serial No.: **10/675,751**

Filed: **September 30, 2003**

For: **Method and Apparatus for  
Monitoring Processing of Instructions  
and Memory Locations in a Data  
Processing System**

**35525**

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

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Group Art Unit: **2183**

Examiner: **Cody, Dillon J.**

Attorney Docket No.: **AUS920030482US1**

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

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By:

Louise Fay

**TRANSMITTAL**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- Reference AL; and
- Our return postcard.

A fee of \$180.00 is believed to be necessary. I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

**DeWitt, Jr. et al.**

Serial No: **10/675,751**

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Title: **Method and Apparatus for  
Monitoring Processing of Instructions and  
Memory Locations in a Data Processing  
System**

Group Art Unit: **2183**

Intellectual Property  
Law Department  
International Business  
Machines Corporation  
11400 Burnet Road  
Austin, Texas 78758

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

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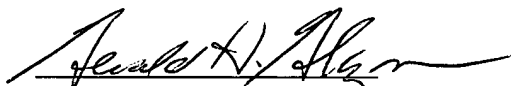
05/30/2006 HGUTEMR1 00000005 090447 10675751

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No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

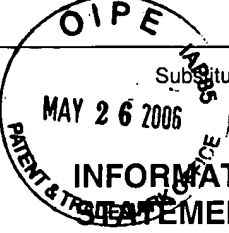
A fee of \$180.00 is believed to be required in connection with the enclosed Supplemental Information Disclosure Statement. The Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,



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 <p>Substitute for form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p>			<b>Complete if Known</b>	
			Application Number	10/675,751
			Filing Date	09/30/2003
			First Named Inventor	DeWitt, Jr. et al.
			Examiner Name	Cody, Dillon J.
			Art Unit	2183
			Attorney Docket No.	AUS920030482US1
<b>Examiner Initials</b>	<b>Document Number</b>	<b>Publication Date MM-DD-YYYY</b>	<b>Name of Patentee or Applicant of Cited Document</b>	<b>Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear</b>
AA	5051944	09-24-1991	Fetterolf et al.	
AB	5142634	08-25-1992	Fite et al.	
AC	5394529	02-28-1995	Brown, III et al.	
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AJ	5287481	02-15-1994	Lin	
AK	5537572	07-16-1996	Michelsen et al.	
<b>FOREIGN PATENT DOCUMENTS</b>				
<b>Examiner Initial</b>	<b>Foreign Patent Document</b>	<b>Publication Date MM-DD-YYYY</b>	<b>Name of Patentee or Applicant of Cited Document</b>	<b>Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear</b>
<b>NON PATENT LITERATURE</b>				
<b>Examiner Initial</b>	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
AL	JEONG et al., "Cost Sensitive Cache Replacement Algorithms", June 2002, Second Workshop on Caching, Coherence and Consistency, NY, pp. 1-14.			

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.